



PTO2 Rec'd PCT/PTO 27 JUN 2003

*Receipt PCT*

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) De Groot, et al. Examiner: Unassigned  
Serial No.: 10/049,473 Group Art Unit: 1645  
Confirmation No.: 4102 Docket: 294-120 PCT/US  
Filed: July 30, 2002 Dated: June 23, 2003  
For: PNEUMOCOCCAL VACCINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RECEIVED**

JUL 22 2003

TECH CENTER 1600/2900

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

There is an error with respect to the following data, which is:

incorrectly entered  
and/or  
 omitted.

**Error in:**

1. **Foreign Applications**

EUROPEAN PATENT OFFICE  
(EPO) 99702640.1 08/13/1999

EUROPEAN PATENT OFFICE  
(EPO) 99202640.1 08/13/1999

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**  
deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to the  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

**FACSIMILE**  
transmitted by facsimile to the  
Patent and Trademark Office.

Date: June 23, 2003

Julie L. Watts

Applicants: De Groot, et al.  
Application Serial No.: 10/049,473  
Filing Date: July 30, 2002  
Docket No.: 294-120 PCT/US  
Page 2

A.  The correction(s) is/are not due to any error by Applicants and no fee is due.

**OR**

B.  At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

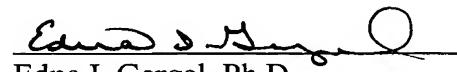
Enclosed is a check for \$25.00.

Charge Deposit Account No.

Attached is a copy of the Official Corrected Filing Receipt received from the U. S. Patent Office in connection with the above-identified application for which issuance of a Corrected Filing Receipt is respectfully requested.

If the Examiner has any questions or comments relating to this Request, the Examiner is respectfully invited to contact Applicants' agent at the telephone number provided below.

Respectfully submitted,

  
Edna I. Gergel, Ph.D.  
Registration No.: 50,819  
Agent for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
EIG:jlw  
174740\_1



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) De Groot, et al. Examiner: Unassigned  
Serial No.: 10/049,473 Group Art Unit: 1645  
Confirmation No.: 4102 Docket: 294-120 PCT/US  
Filed: July 30, 2002 Dated: June 23, 2003  
For: PNEUMOCOCCAL VACCINES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED

JUL 23 2003

TECH CENTER 1600/2900

**REQUEST FOR CORRECTED FILING RECEIPT**

SIR:

There is an error with respect to the following data, which is:

incorrectly entered  
and/or  
 omitted.

**Error in:**

1. **Foreign Applications**

EUROPEAN PATENT OFFICE  
(EPO) 99702640.1 08/13/1999

EUROPEAN PATENT OFFICE  
(EPO) 99202640.1 08/13/1999

**CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**  
deposited with the United States Postal  
Service with sufficient postage as first class  
mail in an envelope addressed to the  
Commissioner for Patents, P.O. Box 1450,  
Alexandria, VA 22313-1450.

**FACSIMILE**  
transmitted by facsimile to the  
Patent and Trademark Office.

Date: June 23, 2003

  
Julie L. Watts

Applicants: De Groot, et al.  
Application Serial No.: 10/049,473  
Filing Date: July 30, 2002  
Docket No.: 294-120 PCT/US  
Page 2

A.  The correction(s) is/are not due to any error by Applicants and no fee is due.

OR

B.  At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:

Enclosed is a check for \$25.00.

Charge Deposit Account No.

Attached is a copy of the Official Corrected Filing Receipt received from the U. S. Patent Office in connection with the above-identified application for which issuance of a Corrected Filing Receipt is respectfully requested.

If the Examiner has any questions or comments relating to this Request, the Examiner is respectfully invited to contact Applicants' agent at the telephone number provided below.

Respectfully submitted,

  
Edna I. Gergel, Ph.D.  
Registration No.: 50,819  
Agent for Applicant(s)

HOFFMANN & BARON, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791  
(516) 822-3550  
EIG:jlw  
174740\_1

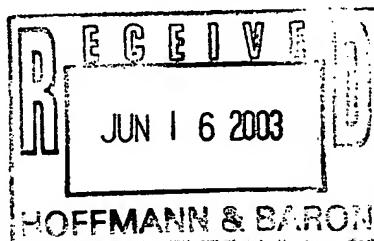


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/049,473	07/30/2002	1645	2146	294-120 PCT/US	2	19	8

RECEIVED  
 JUN 27 2003  
 PATENT & TRADEMARK OFFICE  
 Ronald J Baron  
 Hoffmann & Baron  
 6900 Jericho Turnpike  
 Syosset, NY 11791



CONFIRMATION NO. 4102

## FILING RECEIPT



\*OC000000010249803\*

Date Mailed: 06/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Ronald de Groot, Rotterdam, NETHERLANDS;  
 Peter Wilhelmus M. Hermans, Oud-Beijerland, NETHERLANDS;

RECEIVED

JUL 22 2003

TECH CENTER 1600/2900

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NL00/00569 08/14/2000

## Foreign Applications

99202640.1  
 EUROPEAN PATENT OFFICE (EPO) 99702640.1 08/13/1999

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

## Title

Pneumococcal vaccines

## Preliminary Class

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).